

# Modeling the metabolic adaptations of the biomass under rapid growth and starvation conditions in the activated sludge process

**B. Lavallée, P. Lessard, and P.A. Vanrolleghem**

**Abstract:** For wastewater treatment, the activated sludge models (ASMs) 1, 2, and 3 of the International Water Association (IWA) are accepted as industrial standard. However, many authors have observed that the kinetic parameters of these models depend on the type of substrate, process configuration, and sludge age. Some publications showed that the kinetic parameters of ASMs could be influenced by regulation of enzyme production. Therefore, an engineer aiming to make some modifications to a specific system is not able to predict the response of the real system after the modifications and choose the right configuration or modifications with the same set of parameters. On the other hand, cybernetic models are proposed for modeling cell growth and focus, among other things, on regulation of enzyme production, that is to say on induction. Thus, the objective of this paper is to present an activated sludge model that mimics the enzymatic induction of active biomass within the framework of ASMs. In the proposed model, process rates are modulated according to the environmental conditions and cell history. The model is fitted on the basis of data found in the literature. All data collected from short and long transient experiments were fitted with the same set of parameters, which was not possible with other models. The proposed model gives a more realistic picture of active biomass and of its specific activity under highly varying process conditions, but further research is required to support the model with experimental data.

*Key words:* activated sludge models (ASMs), activity, biomass, enzymes, induction, model, parameter, rate, regulation, sludge, transient.

**Résumé :** Les modèles de boues activées 1, 2 et 3 de l'International Water Association (IWA) sont acceptés comme normes de l'industrie pour le traitement des eaux usées. Toutefois, plusieurs auteurs ont remarqué que les paramètres cinétiques de ces modèles dépendent du type de substrat, de la configuration du procédé et de l'âge des boues. Certains articles ont indiqué que les paramètres cinétiques des modèles de boues activées pourraient être influencés par la régulation de la production d'enzymes. Ainsi, un ingénieur cherchant à modifier un système spécifique ne peut prédire la réponse du système réel une fois les modifications apportées ni choisir la bonne configuration ou les bonnes modifications avec le même ensemble de paramètres. Aussi, des modèles cybernétiques sont proposés pour modéliser la croissance cellulaire et portent, entre autres, sur la régulation de la production d'enzymes, c'est-à-dire sur l'induction. L'objectif du présent article est donc de présenter un modèle de boues activées qui imite l'induction enzymatique de la biomasse active dans le cadre des modèles de boues activées. Dans le modèle proposé, les taux de réaction sont ajustés aux conditions environnementales et à l'historique cellulaire. Le modèle est calé sur les données trouvées dans la littérature. Les données colligées lors d'expériences transitoires à court et à long termes ont toutes été calées avec le même ensemble de paramètres, ce qui n'était pas possible avec les autres modèles. Le modèle proposé offre ainsi un regard plus réaliste de la biomasse active et de son activité spécifique sous des conditions de procédé hautement variables, mais une recherche plus poussée est requise pour soutenir le modèle avec des données expérimentales.

*Mots clés :* modèles de boues activées, activité, biomasse, enzymes, induction, modèle, paramètre, taux, régulation, boues, transitoire.

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## 1. Introduction

During the last decade, many models were used for to describe behaviour of wastewater treatment plants using activated

sludge processes (e.g., Henze et al. 2000). In these models, kinetic parameters that depict the activity of biomass in the processes are assumed constant. The representation of active

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biomass can therefore be regarded as a static picture of its particular metabolic state. Authors of these models stated that these constant kinetic parameters depend on the type of substrate, process configuration, and sludge age (Henze et al. 2000).

However, the cell's metabolism is the result of a large number of biochemical reactions. These reactions are coordinated and regulated by different inhibitors and inducers. Thousands of enzymes exist, and hundreds could be involved in the active metabolism of the cell (Bailey and Ollis 1986). Consequently, cells have a variable level of specific activity. For instance, Grady et al. (1996) showed that the value of the maximum growth rate of a particular sludge is linked to its metabolic state and is dependent, among others, on the dilution rate in a chemostat. Many authors also showed that the maximum growth rate value will change with the applied substrate to biomass ratio  $S_0/X_0$  in batch experiments (Chudoba et al. 1992; Daigger and Grady 1982a; Sin 2004). Furthermore, it was also shown that evaluation of kinetic parameters could be influenced by enzymatic induction (a mechanism inducing the production of a specific enzyme) (Çinar and Grady 2001; Grady et al. 1996; Lavallée et al. 2002; Sin 2004; Vanrolleghem et al. 1998).

Thus, variation in operating conditions of a treatment plant, or modifications made on the system, will induce variation in the specific activity of cells, but the model (with its constant parameters) will give a deviation from the response of the real system. For instance, it is well known that  $\mu_{H\max}$ , the maximum growth rate of heterotrophic biomass, will change according to culture conditions (Daigger and Grady 1982a). Also,  $K_{STO}$ , a parameter of the storage process in ASM3, is not universal, and its value changes with environmental conditions (Hanada et al. 2001). Variation of the process configuration or the operation mode changes the induction time of particular enzymatic chains. Thus, this changes the specific activity level of the biomass and the dependant parameters. A detailed literature review on process induction is given in a separate paper (Lavallée et al. 2005). Therefore, an engineer aiming to optimize the configuration of a system or to modify a specific system is not able to predict the response of the real system after the modifications and choose the right configuration or modifications. Hence, further refinement of current models is desirable for process design and retrofitting.

To model enzymatic induction and metabolic adaptation, biochemical models have already been proposed in the literature (Jensen and Pedersen 1990; Zhang et al. 2002). In these models, the protein synthesis system (PSS) will grow and decay with substrate availability or starvation. The state of the PSS will set the rate of protein formation and thus the growth rate of bacteria (Jensen and Pedersen 1990; Zhang et al. 2002).

Ramkrishna and co-workers (Baloo and Ramkrishna 1991; Kompala et al. 1986; Turner et al. 1989) proposed cybernetic models to mimic enzymatic induction of fast-growing bacteria. In these models, a structured representation of cells is adopted to mimic the growth and decay of some PSS components, called resource machinery. The cybernetic approach is based on an invariant strategy rather than an invariant kinetic response, which

is implicit in the framework of kinetic models (Ramkrishna 1983). Mathematical functions are used to model some induction mechanisms in addition to the usual kinetic functions. In these models, the enzymatic pool and resource machinery fluctuate with substrate availability and the history of the microorganisms. These models fit quite well to data collected in chemostat cultures with step variations of dilution rate. Liu et al. (1998) used a cybernetic model to describe the diauxic behaviour of activated sludge in the denitrification process. The significance of this short transient and influence of the induction time (the unaerated volume fraction) in the denitrification process was shown by Lee et al. (2004) using the model proposed by Liu et al. (1998). However, in this cybernetic model, only one component having a short time constant is used to mimic the PSS. Modeling longer transients as growth rate fluctuations (Frigon et al. 2002a) requires an additional component having a larger time constant (to mimic the stable RNA). To this end, different metabolic states of cells (that is to say, the adaptation speed of the cells to new conditions (Daigger and Grady 1982b)) will be described using these two components (for a review, see Lavallée et al. (2005)). Obviously, the real cellular processes are much more complex than the chosen representation, and several steps and components are not included in the model.

Thus, the objective of this paper is to present a model that mimics the variation of the specific activity of active biomass within the frame of the ASM models. The modifications done to ASM3 aim to model the variation of the process rates (defined in ASM3) and increase the prediction capabilities for a variety of process configurations. With a model able to mimic the regulation of the growth rate, it should be possible to access the intrinsic value of parameters defining the growth rate of biomass. As a consequence, it would be possible to predict the response of continuous systems or semi-continuous systems and batch processes with the same set of parameters. Hence, recalibration of the model to each process configuration would not be required as for ASMs. This will minimise the experimental work. Thus, after the model is calibrated with an effluent data set, it is conceivable that this mathematical tool can be used to perform design optimisation or plant retrofit.

In the proposed model, the biomass description is limited to heterotrophic biomass exposed to aerobic conditions. Extension of the model to anoxic conditions or inclusion of nitrifying biomass and phosphate accumulating organisms will need additional components and processes descriptions. This will be topics of future work.

## 2. The chosen picture of biomass

It is difficult to choose the representation of biomass when one is building a model. Here, the aim of the proposed model is to describe the varying growth rates of the active cells. The only parameter that does not vary with growth rate is the mass of the cell nucleus. Obviously, growing cells could have more than one copy of the nucleus, but the mass of the nucleus could be



**Table 1.** Processes description.

Rates	Description	Processes
$R1$	Production of $B_S$	$k_{B_S(1)}^{max} \frac{E_{B_S(1)}}{X_H} \frac{S_S}{K_{S(1)}+S_S} \frac{S_O}{K_O+S_O} X_H$
$R2$	Production of $B_S$	$k_{B_S(2)}^{max} \frac{E_{B_S(2)}}{X_H} \frac{S_S}{K_{S(2)}+S_S} \frac{S_O}{K_O+S_O} X_H$
$r3$	Production of $B_{STO}$	$k_{STO}^{max} \frac{E_{STO}}{X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} X_H$
$r4$	Production of $mR$	$\alpha_{mR} \frac{E_G}{X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r5$	Growth of heterotrophs and production of $E_G$	$\mu_{Hmax}^{int} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r6$	Production of $E_{STO}$	$\alpha_{E_{STO}} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r7$	Production of $E_{B_S(1)}$	$\alpha_{E_{B_S(1)}} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{S_S}{K_{S(1)}+S_S} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} \frac{K_{S(2)}}{K_{S(2)}+S_S} X_H$
$r8$	Production of $E_{B_S(2)}$	$\alpha_{E_{B_S(2)}} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{S_S}{K_{S(2)}+S_S} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r9$	Production of $E_A$	$\alpha_{E_A} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r10$	Production of $E_T$	$\alpha_{E_T} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$i11$	Production of $E_h$	$\alpha_{E_h} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR}+[mR]/X_H} \frac{X_S}{K_{X_S}+X_S} \frac{K_{S(1)}}{K_{S(1)}+S_S} \frac{B_S/X_H}{K_{B_S}+B_S/X_H} \frac{S_O}{K_O+S_O} \frac{S_{NH}}{K_{NH}+S_{NH}} X_H$
$r12$	Release of $B_S$	$k_{RB_S}^{max} \frac{B_S/X_H}{K_{RB_S}+B_S/X_H} X_H$
$r13$	Hydrolysis	$k_h^{max} \frac{X_S}{K_{X_S}+X_S} E_h$
$r14$	Degradation of $B_{STO}$	$\delta k_{STO}^{max} \frac{E_{STO}}{X_H} \frac{B_{STO}/X_H}{K_{STO}+B_{STO}/X_H} \frac{K_{S(1)}}{K_{S(1)}+S_S} X_H$
$r15$	Decay of $E_G$	$\beta_{E_G} E_G$
$r16$	Decay of $E_{STO}$	$\beta_{E_{STO}} E_{STO}$
$r17$	Decay of $E_{B_S(1)}$	$\beta_{E_{B_S(1)}} E_{B_S(1)}$
$r18$	Decay of $E_{B_S(2)}$	$\beta_{E_{B_S(2)}} E_{B_S(2)}$
$r19$	Decay of $mR$	$\beta_{mR} [mR]$
$r20$	Decay of $E_A$	$\beta_{E_A} E_A$
$r21$	Decay of $E_T$	$\beta_{E_T} E_T$
$r22$	Decay of $E_h$	$\beta_{E_h} E_h$
$r23$	Death of biomass cause by toxin	$b_{X_T}^{max} \frac{E_T}{X_H} \frac{K_{IT}}{K_{IT}+E_A/X_H} X_H$
$r24$	Aerobic growth of protozoa	$\mu_{MFmax} \frac{X_H/X_{MF}}{K_{X/MF}+X_H/X_{MF}} \frac{S_O}{K_{MFO}+S_O} X_{MF}$
$r25$	Decay of protozoa	$b_{MF} X_{MF}$

and  $E$ , respectively, and are given in milligrams per litre. When the enzymatic component is expressed in a specific value, the notation is expressed as  $E/X_H$ . The variable  $mR$  is used to mimic some components of the PSS, such as mRNA, and is equivalent to an enzymatic structure. In the text,  $rX$  refer to the processes rate in Table 1. Also, in the text saturation term using  $O_2$  and  $NH_4$  are omitted from most of the equations. However, when required these terms are included in the equations of Table 1.

**3.1. Modeling the growth rate fluctuations**

In the proposed model, growth of heterotrophic organisms is regulated by concentrations of  $mR$ ,  $E_G$ , and  $B_S$ . The concentration of ribosomes (rRNA) is mimicked by the variable  $E_G$ . So, the relative concentration of ribosomes within the cell is given by the ratio  $E_G/X_H$ .

As shown in eq. [1], the ratio  $E_G/X_H$  is added to the growth rate equation proposed by Monod (1949). The ratio  $E_G/X_H$

reflects the metabolic state of the active biomass. It will change the growth rate of heterotrophic organisms,  $\mu_H$ , according to the ribosomes concentration and to RNA theory (Lavallée et al. 2005). As mRNA ( $mR$ ) is required for the maximal rate of translation by ribosomes, a Michaelis–Menten equation is inserted in the proposed equation, too (Lavallée et al. 2005). The saturation equation including  $mR$  will change the  $\mu_H$  value according to the availability of mRNA (Lavallée et al. 2005; Roels 1982; Vanrolleghem et al. 1998). This term will mimic the specific translation rate of ribosome.  $B_S$  is the representation of the endogenous substrate or metabolites and building blocks.

$$[1] \quad \mu_H = \mu_{Hmax}^{int} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR} + [mR]/X_H} \frac{B_S/X_H}{K_{B_S} + B_S/X_H}$$

The production rate of  $E_G$  (process  $r5$  in Table 1) is described by eq. [2] when  $O_2$  and  $NH_4$  are at saturation level. As shown in Fig. 1, it is assumed that the ribosome ( $E_G$ ) production is

**Table 2.** Stoichiometry of intracellular, soluble and particulated components.

Rate	$E_G$ (COD, g/m <sup>3</sup> )	$B_{STO}$ (COD, g/m <sup>3</sup> )	$B_S$ (COD, g/m <sup>3</sup> )	$S_S$ (COD, g)	$S_O$ (COD, g)	$S_{NH}$ (N, g)	$X_H$ (COD, g)	$X_{MF}$ (COD, g)	$X_i$ (COD, g)	$X_s$ (COD, g)
$r_1$			$Y_{BS}$	-1	$-(1 - Y_{BS})$					
$r_2$			$Y_{BS}$	-1	$-(1 - Y_{BS})$					
$r_3$		1	-1							
$r_4$										
$r_5$	$\varphi_{EG}$		$-\frac{(1+\varphi_{EG})}{Y_H}$		$-\frac{(1+\varphi_{EG})(1-Y_H)}{Y_H}$	$-(1 + \varphi_{EG})i_{XB}$	1			
$r_6$										
$r_7$										
$r_8$										
$r_9$										
$r_{10}$										
$i_{11}$										
$r_{12}$			-1	1		$i_{XB}$				-1
$r_{13}$				1						
$r_{14}$			1							
$r_{15}$	$-(1 - Y_H)$				$-(1 - Y_H)$	$i_{XB}(1 - Y_H)$				
$r_{16}$										
$r_{17}$										
$r_{18}$										
$r_{19}$										
$r_{20}$										
$r_{21}$										
$r_{22}$										
$r_{23}$	$-\frac{E_G}{X_H}$	$-\frac{B_{STO}}{X_H}$	$-\frac{B_S}{X_H}$	$\frac{B_S + B_{STO}}{X_H}$			-1		$\frac{f_u(X_H + E_G)}{X_H}$	$\frac{(1 - f_u)(X_H + E_G)}{X_H}$
$r_{24}$	$-\frac{E_G}{X_H Y_{MF}}$	$-\frac{B_{STO}}{X_H Y_{MF}}$	$-\frac{B_S}{X_H Y_{MF}}$	$i$	$-\frac{(1 - Y_{MF})(X_H + E_G + B_S + B_{STO})}{Y_{MF} X_H}$	$\frac{(1 - Y_{MF})(X_H + E_G + B_S + B_{STO})}{Y_{MF} X_H}$	$-\frac{1}{Y_{MF}}$	$\frac{(1 - f_u)(X_H + E_G + B_S + B_{STO})}{X_H}$	$\frac{f_u(X_H + E_G + B_S + B_{STO})}{X_H}$	$\frac{(1 - f_u)(X_H + E_G)}{X_H}$
$r_{25}$										1

**Table 3.** Stoichiometry of enzymatic components.

Rate	$E_{STO}$ (unit/L)	$E_{BS(1)}$ (unit/L)	$E_{BS(2)}$ (unit/L)	[mR] (unit/L)	$E_A$ (unit/L)	$E_T$ (unit/L)	$E_h$ (unit/L)
r1							
r2							
r3							
r4				1			
r5							
r6	1						
r7		1					
r8			1				
r9					1		
r10						1	
i11							1
r12							
r13							
r14							
r15							
r16	-1						
r17		-1					
r18			-1				
r19				-1			
r20					-1		
r21						-1	
r22							-1
r23	$-\frac{E_{STO}}{X_H}$	$-\frac{E_{BS(1)}}{X_H}$	$-\frac{E_{BS(2)}}{X_H}$	$-\frac{[mR]}{X_H}$	$-\frac{E_A}{X_H}$	$-\frac{E_T}{X_H}$	
r24	$-\frac{E_{STO}}{X_H Y_{MF}}$	$-\frac{E_{BS(1)}}{X_H Y_{MF}}$	$-\frac{E_{BS(2)}}{X_H Y_{MF}}$	$-\frac{[mR]}{X_H Y_{MF}}$	$-\frac{E_A}{X_H Y_{MF}}$	$-\frac{E_T}{X_H Y_{MF}}$	
r25							

dependent on the rate of translation (Lavallée et al. 2005), or in other words, of the  $E_G/X_H$  and  $[mR]/X_H$  levels. Accordingly the  $E_G/X_H$  ratio and saturation equation for  $[mR]$  are introduced in eq. [2]. The parameter  $\varphi_{EG}$  is a stoichiometric coefficient related to the correlation between the growth rate and the protein production. The dependency on the availability of amino acids is mimicked by including the endogenous substrate  $B_S$  term.

$$[2] \quad r5_{(EG)} = \varphi_{EG} \mu_{H \max}^{\text{int}} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR} + [mR]/X_H} \times \frac{B_S/X_H}{K_{B_S} + B_S/X_H} X_H$$

$$[3] \quad r4 = \alpha_{mR} \frac{E_G}{X_H} \frac{B_S/X_H}{K_{B_S} + B_S/X_H} X_H$$

In eq. [3] the  $\alpha_{mR}$  parameter is the maximal production rate of the mR components. The expression of mR (or its rate of production  $r4$ ) is completely inductive, and changes with the  $B_S$  and  $E_G$  concentrations. The RNA polymerase concentration is represented in eq. [3] by the ratio  $E_G/X_H$ . The number of RNA polymerases per nucleus is proportional to the amount of ribosome, and this for all growth rates (Bremer and Dennis 1996). Thus, the specific RNA polymerase concentration will be mimicked by the variable  $E_G$  and the ratio  $E_G/X_H$ . The

activity of the RNA polymerases is different to the ribosome activity and is therefore modeled by the rate  $\alpha_{mR}$ .

In the model, the variable  $E_G$  has COD units. Hence, the active biomass COD is now composed of an amount of structural component ( $X_H$ ) and a variable fraction, the biosynthetic constituent ( $E_G$ ). The constituent  $E_G$  is endogenous; thus the mathematical description of biomass becomes  $(X_H + E_G)$ . This description agrees with the description of biomass proposed by Masson et al. (1986) and van den Berg (1998). Thus, in the model, the specific COD of active biomass ( $\text{COD}/X_H$ ) will rise and fall with  $E_G$ .

The decay of  $E_G$  (process r15) and mR (process r19) are endogenous processes described as first-order reactions characterized by the "endogenous" rate constants  $\beta_{EG}$  and  $\beta_{mR}$ . This formulation is similar to the one used by Baloo and Ramkrishna (1991) for the decay of endogenous enzymes.

### 3.2. Modeling the substrate uptake rate

It was observed by several authors that the specific substrate uptake rate varies with growth conditions (Ferenci 1999; Kovárová-Kovar and Egli 1998). It was also observed that the rate of substrate uptake and storage in ASM3, is not universal, and the value of this constant changes with environmental conditions (Hanada et al. 2001). It was furthermore shown that the substrate uptake rate is dependent on the concentration of

several transport enzymes in the cell wall (Ferenci 1999).

In the model, readily biodegradable organic substrate ( $S_S$ ) is taken up by the transport enzyme ( $E_{B_S}$ ) to yield endogenous substrate ( $B_S$ ). The accumulation rate is regulated by enzyme and substrate concentration. In this process, the variation of the specific substrate uptake rate will be mimicked by the ratio  $E_{B_S}/X_H$  in eq. [4] (processes r1 and r2 in Table 1). Modeling of the production of transport enzymes will be done with an equation similar to the one proposed for production of  $E_G$ . The constant  $k_{B_S}^{\max}$  is the maximum specific activity of the enzyme.

$$[4] \quad r1 \text{ or } r2 = k_{B_S}^{\max} \frac{E_{B_S} 1 \text{ or } 2}{X_H} \frac{S_S}{K_{S(1 \text{ or } 2)} + S_S} \frac{S_O}{K_O + S_O} X_H$$

However, the  $K_S$  constant could change with substrate, substrate concentration, and growth conditions (Ferenci 1999; Kovárová-Kovar and Egli 1998). Ferenci (1999) showed that the level of expression of various transport enzymes of glucose is regulated by the substrate concentration. At low substrate concentrations, a transport enzyme with a high substrate affinity would be induced at a higher intensity than a second transport system with a low substrate affinity, which is favoured at high glucose concentrations. Thus, the model includes two transport systems (processes r1 and r2) with respective enzymes ( $E_{B_{S(1)}}$  and  $E_{B_{S(2)}}$ ) and saturation constants ( $K_{S(1)}$  and  $K_{S(2)}$ ). According to Ferenci (1999), in the model,  $E_{B_{S(1)}}$  production will be subject to catabolite repression at high substrate concentration.

### 3.3. Modeling the formation of endogenous reserves

It is well known that in some conditions cells store substrate in the form of glycogen or PHB. Cells able to quickly use and store substrate possess a selective advantage over cells that cannot (van Loosdrecht et al. 1997).

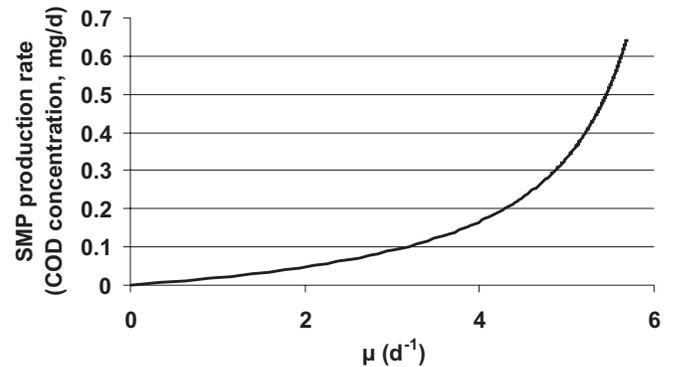
Modeling of storage product formation (process r3) is done as suggested by Dircks et al. (2001) for glycogen and Beun et al. (2000) for PHB. Storage products ( $B_{S_{TO}}$ ) are synthesized from endogenous substrate ( $B_S$ ) through a single reaction.

$$[5] \quad r3 = \left( k_{S_{TO}}^{\max} \frac{E_{S_{TO}}}{X_H} \frac{B_S/X_H}{K_{B_{S(2)}} + B_S/X_H} \right) X_H$$

Also, as shown on Fig. 1, degradation of storage product is modeled by a single reaction, and this process produces  $B_S$ . According to the expression of glycogen enzymes, the enzymes that synthesize and depolymerize  $B_{S_{TO}}$  are coexpressed by the same operon (Lavallée et al. 2005). Therefore, these two enzymes should be modeled by the variable  $E_{S_{TO}}$  and the rates  $k_{S_{TO}}^{\max}$  and  $\delta k_{S_{TO}}^{\max}$ . Obviously, depolymerization of  $B_{S_{TO}}$  (process 14) is regulated by its concentration and inhibited by high levels of  $B_S$ , as shown in eq. [6].

$$[6] \quad r14 = \left( \delta k_{S_{TO}}^{\max} \frac{E_{S_{TO}}}{X_H} \frac{B_{S_{TO}}/X_H}{K_{S_{TO}} + B_{S_{TO}}/X_H} \times \frac{K_{S(1)}}{K_{S(1)} + S_S} \right) X_H$$

Fig. 3. Production rates of soluble microbial products (SMPs).



The process rates are modulated by the ratio  $E_{S_{TO}}/X_H$ . Similar to  $E_G$ , production of  $E_{S_{TO}}$  is regulated at the transcription level. Consequently, the rate of formation or degradation of endogenous reserves will be modulated according to environmental conditions, as observed by Hanada et al. (2001).

### 3.4. Modeling the formation of soluble microbial products

In addition to the storage process, release of metabolites by the cell is often observed (Grady et al. 1972; Hao and Lau 1988; Huang and Cheng 1987). Utilization-associated product formation may be significant, and the TOC or COD mass balance should take it into account.

Equation [7] models this process (r12).

$$[7] \quad r12 = k_{RB_S}^{\max} \frac{B_S/X_H}{k_{RB_S} + B_S/X_H} X_H$$

In this equation, the constant  $k_{RB_S}^{\max}$  mimics the outward diffusion of metabolites through the cell membrane. A saturation equation is used to model this process. Thus, diffusion of metabolites through the cell membrane will be negligible at low  $B_S$  concentrations and proportional to high  $B_S$  concentrations. The  $B_S$  diffusion will increase according to an exponential function relative to the growth rate. The half-saturation coefficients  $K_{B_S}$  used in the growth equation could be set at a lower value than  $k_{RB_S}$  used in eq. [7]. Thus, an excess of endogenous substrate will not significantly increase the growth rate, but it will induce an increasing release of  $B_S$  in the bulk liquid (Fig. 3). This relation is in accordance with the exponential relation proposed by Hao and Lau (1988) for modeling UAP in a chemostat. Obviously, the real process is more complex than this representation, but for modeling convenience, a single-step formulation is adopted, as was also done for storage formation. Moreover, because nitrogen is required for growth and is not required for UAP formation, this representation presents the opportunity to model the UAP formation under nitrogen-limiting conditions. Also, a balance evaluation of storage on UAP formation is possible because the two processes are in competition for  $B_S$  utilization.

The formation of soluble biomass associated products, which are released after exhaustion of the substrate, has not been modeled yet. However, this process has a significant impact on the

COD concentration in the effluent, and it will be included in the model after completion of further modeling studies.

### 3.5. Modeling the maintenance process

The decay of  $E_G$  ( $\beta_{E_G}$ ) is a continuous process and thus occurs during both starvation and growth. Therefore, the biomass ( $E_G + X_H$ ) uses substrate to maintain ribosome concentrations at the desired level. The renewal of these enzymes will use the COD, and a part will be used for respiration. This will be assumed to be the maintenance process. Thus, the  $E_G$  production and decay are a cycling process quite similar to the maintenance process proposed by Pirt (1965). According to Pirt (1965), maintenance is the use of substrate for other purposes than growth. Thus, in the model, the maintenance is proportional to the macromolecules turnover as proposed by some authors (Chudoba et al. 1992; van den Berg 1998). Then, oxygen used in the energy production process is coupled to the production of enzymes and the growth of active biomass. The decaying macromolecule  $E_G$  is used for energy production and leads to endogenous respiration and a loss of specific activity. Thus, the exogenous and endogenous respiration of active biomass are regulated as proposed by some authors (Beefink et al. 1990; Nicolai et al. 1991), with the model combining the Herbert (1958) and Pirt (1965) formulations of endogenous and maintenance metabolism, respectively.

Additionally, some energy could be spent in futile cycles as metabolites are released (Hao et Lau 1988). In this process, the constant  $k_{RB_S}^{\max}$  mimics the outward diffusion of metabolites through the cell membrane. Thus, with the combination of the substrate uptake process and the release process, energy is spent in substrate uptake and release cycling.

Several additional processes could be included in the maintenance process (Lavallée et al. 2005), but only some are included in the proposed equations to keep the complexity of the model at a reasonable level.

### 3.6. Modeling decay and death of active cells

As explained below, the proposed model makes a distinction between endogenous respiration and death of active biomass. The usual concept of active biomass decay includes grazing (process r24) and the influence of other external factors on cells (external decay as proposed by van Loosdrecht and Henze (1999)). Predation is a complex phenomenon that could be modeled with simple processes as in *River Water Quality Model No. 1* (Reichert et al. 2001). However, there is a general lack of information in the literature on kinetic description of grazing by protozoa in activated sludge. Future work will focus on DNA extraction, as done by Brands et al. (1994), and inhibition of protozoa to give some information on the kinetics of cell death.

In the model, microfauna are modeled as a whole. They graze on active bacteria and a cause decrease in the active biomass. Initially, a Lotka–Volterra equation form is adopted for modeling of microfauna growth (process r24). The microfauna component ( $X_{MF}$ ) is associated with COD used and respiration. Also, the nitrogen fraction is assumed to be the same in microfauna

as in active biomass. Therefore, respiration of micro fauna releases nitrogen in proportion to  $(1 - Y_{MF})$ . Decay of  $X_{MF}$  is modeled by a simple first-order reaction (process r25).

On the other hand, the concept of cell death is proposed here (process r23 in Table 1); it consists of lysis and other internal factors affecting the cell. Death of active biomass can be induced by a toxin–antitoxin couple (TA) (Yarmolinsky 1995). The TA is produced by an inductive process that depends on the substrate concentration in the mixed liquor. The antitoxin ( $E_A$ ) is produced by the cell during growth at a higher rate than the toxin and neutralize the effect of the toxin ( $E_T$ ). However, antitoxin has a shorter half-life than the toxin, as shown by Aizenman et al. (1996). Thus, as suggested by Aizenman et al. (1996), only a short time after substrate depletion, the toxin could turn on its bactericidal effect ( $b_{XT}^{\max}$ ) (for a review see Lavallée et al. 2005).  $E_A$  and  $E_T$  are functional components required for modeling, but not directly identifiable chemically. In the model, the toxin exerts its bactericidal effect when the  $E_A/X_H$  ratio is low as described by the Michaelis–Menten term in eq. [9].

The rates of production of the toxin and its antitoxin (processes r10 and r9, respectively) are described as inductive processes dependent on endogenous substrate  $B_S$  and of the PSS state. Their decay is a simple first order process with different specific rates to account for the differences in half-life. Modeling of antitoxin (eq. [8]) or toxin production will be done with an equation similar to the one proposed for production of  $E_G$ .

$$[8] \quad r_9 = \alpha_{E_A} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR} + [mR]/X_H} \frac{B_S/X_H}{K_{B_S} + B_S/X_H} X_H$$

Modeling of TA action on active biomass (process r23) is done with the following equation for the toxin-induced death:

$$[9] \quad r_{23} = b_{XT}^{\max} \frac{E_T}{X_H} \frac{K_{iT}}{K_{iT} + E_A/X_H} X_H$$

In this equation,  $K_{iT}$  is the half-saturation coefficient of the toxin-induced death that is inhibited by the presence of the antitoxin.

### 3.7. Modeling the hydrolysis process

Previously, it was shown that variation of the hydrolysis process could be significant in evaluation of the COD fraction of the influent (Haider et al. 2000). Here, for the same wastewater, the hydrolysis activities and COD fractions were found to be highly dependent on the sludge activity levels and the food to micro-organisms ratio. On the other hand, Frigon et al. (2002b) showed that different populations (the *Acinetobacter* spp., and an actinomycete) within the sludge could use different substrates (as  $S_S$  and  $X_S$ ) for growth. Therefore, a model should mimic the variable ability of sludge to hydrolyse suspended substrate ( $X_S$ ).

In the model, the hydrolysis rate (process r13) changes according to the specific density of hydrolytic enzymes  $E_h$  (eq. [10]). Indeed, hydrolytic enzyme expression is regulated by the substrate or substrate derivatives (Priest 1992). In the model

it is assumed that  $X_S$  concentration (thus the derivatives) induces the production of hydrolytic enzymes. On the other hand, hydrolytic enzyme expression is subject to catabolite repression (Priest 1992). Hence, a switching function is added in the hydrolytic enzymes synthesis rate equation to mimic catabolic repression. Thus, enzymes will be produced only when the soluble substrate concentration, and thus the observed growth rate, is low (eq. [11] and process r11). In addition, hydrolytic enzymes will be produced only when the necessary substrate concentrations are high. They are exogenous enzymes and are not affected by growth rate or decay of active biomass.

$$[10] \quad r_{13} = k_h^{\max} \frac{X_S}{K_{X_S} + X_S} E_h$$

$$[11] \quad r_{11} = \alpha_{E_h} \frac{E_G}{X_H} \frac{[mR]/X_H}{K_{mR} + [mR]/X_H} \frac{X_S}{K_{X_S} + X_S} \\ \times \frac{K_{S(1)}}{K_{S(1)} + S_S} \frac{B_S/X_H}{K_{B_S} + B_S/X_H} X_H$$

In eq. [10], the substrate saturation function is adopted because Goel et al. (1998) showed that cellulose hydrolysis is dependent mainly on the enzyme concentration in the mixed liquor. Also these authors showed that hydrolysis process is independent of the electron donor. Finally,  $E_h/X_H$  models in the enzyme density per cell or flock surface used in the ASM, as shown in eq. [12].

$$[12] \quad k_h^{\max} E_h = k_h^{\max} \frac{E_h}{X_H} X_H$$

The surface saturation function adopted in the ASM should be considered as a specific application of the more general representation chosen here.

#### 4. Estimation of parameters

A number of new parameters are proposed in the model, which includes 17 state variables and 41 parameters. This is seven state variables and 20 parameters more than used in ASM3 for description of heterotrophic biomass in aerobic conditions. Hence, new methods are required for evaluation of state variables and parameter identification. They are proposed in the following paragraphs. These new methods are based on transient behaviour data. These data are usually discarded when using ASMs because it is not possible to fit the responses of the models to these data. Transient behaviour data give additional information compared with the steady state or the usual dynamic data. With these rich information data a multi-steps identification procedure can be used to identify parameters one by one. Each parameter can be identified on a particular transient behaviour according to the transient time constants. Thus, identification of each parameter can be done independently.

#### 4.1. Evaluation of the active biomass

As proposed by Schaechter et al. (1958), in the model the ratio of cell wall to cell membrane per nucleus remains constant for every growth rate. The active biomass will be represented by  $X_H$ , i.e., the mass of structural components built of one nucleus, cell membrane, and cell wall. As the ratio of these structural components is assumed constant, evaluation of active cells as  $X_H$  can be performed by measurements of DNA concentration using a COD/DNA ratio.

According to the model assumptions, during exponential growth, the decay of active cells is not significant. Therefore in such experiment, the COD of suspended solids is equivalent to the COD of the cells ( $X_H + E_G + B_{STO}$ ). The concentration of active cells ( $X_H + E_G$ ) will be given by the COD of suspended solids minus that of  $B_{STO}$ . Also, the increase in growth rate is linked to an increase of  $E_G/DNA$ . The increase of COD per active cells ( $(X_H + E_G)/DNA$ ) will give the increase of COD of  $E_G/DNA$ . The concentration of active cells ( $X_H + E_G$ ) minus the COD of  $E_G$  will give the COD/DNA of  $X_H$ . Thus, with a DNA measurement, the  $X_H$  concentration expressed as COD should be available.

#### 4.2. Evaluation of kinetic coefficients

Identification of parameters is done most easily by taking advantage of differences in relaxation times, or time constants. According to Roels (1982), the mass action law (substrate saturation) operates within milliseconds, mRNA control in a matter of minutes, enzyme production in a matter of hours, and selection within a population in a matter of days. It is possible to turn these time constants to our advantage and make some simplifications in methods used for parameter evaluation. For instance, it is possible to choose an experimental design for evaluation of a particular coefficient and make the assumption that variables changing with larger time constant have constant value.

It is well known that the saturation of enzymes is reached within a few seconds, and usually this time constant is set to zero. According to the relaxation time as proposed by Roels (1982), in a batch experiment the observable variables will increase or decrease along a sequence as explained below. The first one to increase will be the DNA transcript (Oerther et al. 2002; Cangelosi and Brabant 1997). This first increase of mRNA (mR in the model) induces a rapid increase of the growth rate. After the initial increase, the growth rate and RNA concentration per cell remain constant for at least 2 h (Kjeldgaard et al. 1958). Daigger and Grady (1982a) called this first increase of the growth rate "the available reaction potential". The second observable variable is the stable rRNA concentration ( $E_G$  in the model), which showed a slow and gradual increase (Cangelosi and Brabant 1997; Muttray et al. 2001; Oerther et al. 2002). This increase of stable rRNA concentration is correlated to the increase of the growth rate (Muttray et al. 2001). According to data presented by Daigger and Grady (1982a), this slow increase of the growth rate could take several hours and is preceded by a lag phase. The length of the lag phase is

dependent on the initial growth conditions, i.e., on the induction level of the sludge (the initial ratio  $E_G/X_H$  in the model). In the model, as the synthesis rate of  $E_G$  is dependent on its own concentration, the duration of this lag phase will be dependent on the initial concentration of  $E_G$ . Hence, the model is in agreement with the “unifying theory” proposed by Daigger and Grady (1982a).

Also, within biomass grown in a chemostat, by definition, different species of bacteria have the same growth rate. As discussed by Lavallée et al. (2005), selection within a population will take place with the difference between the growth rates of these species. Thus, during the first few hours of a batch culture, the differential growth rate is small, and selection should not occur to a significant level.

Therefore, in a batch experiment, parameters can be identified one at a time on a simple curve fit with adequate measurements. Albertson et al. (1990) observed an increase of the translation rate and a corresponding increase of the respiration after a substrate step-increase in batch experiments. Thus, DNA should be measured to estimate  $\mu_H$ , and respiration rate for identification of parameters associated with mR and  $E_G/X_H$ . As mR has a short half-life, a quick variation of  $\mu_H$  will be caused by mR dynamics. A slow variation of  $\mu_H$  will be caused by  $E_G/X_H$  variation as it has a greater half-life. As discussed by Lavallée et al. (2005), during exponential growth, the death of active biomass (caused by toxin) is close to zero. Hence, independent identification of the growth rate of  $X_H$  and the production rate of  $E_G$  and mR is possible.

Obviously, during a batch experiment, release of substrate and storage could influence the growth curve. Hence, if glucose is used as soluble substrate, the glucose concentration and soluble COD concentration will have to be measured. Accordingly, the specific substrate uptake rate can be evaluated. A curve fit

will give the uptake enzyme concentration ( $E_{B_S}/X_H$ ) when the specific rate reaches a constant value. A curve fit on the increase rate of the specific substrate uptake rate will give the production rate of the uptake enzyme ( $\alpha_{E_{B_S}}$ ). Similar methods can be used for identification of the production rates of other enzymes.

The decay of enzymes can be studied during starvation in a batch experiment. Biomass should be starved for several days. According to the time constants, biomass samples should be taken at constant intervals to build a time series. Consequently, the decline of the growth rate or of the specific activity could be evaluated by performing pulse substrate addition experiments, as done by Vanrolleghem et al. (1998). The half-life of mRNA is in the order of a few minutes, and the half-life of stable rRNA or proteins is a few hours. The decline of the specific respiration rate during starvation should give the decay rate of mR (at the beginning of starvation with an interval of minutes) and of  $E_G$  (during the first day of starvation with an interval of hours).

Identification of the decay rate of active biomass should be performed by measurement of the decay rate of the endogenous DNA concentration.

$Y_H$  will be obtained with the usual method for ASM calibration (Henze et al. 2000). As the time constant of the stable rRNA is in the order of hours, one could assume that the variation of rRNA will be limited during short experiments. The heterotrophic yield can then be determined from eqs. [13] and [14]. Here, eq. [13] is written two ways, but both expressions have the same meaning. The substrate used should contain only soluble organic matter. If the substrate uptake and storage process occur simultaneously, eq. [13] could be used. At the end of substrate uptake, the yield on stored product could be assessed with eq. [14].  $B_S$  is assumed to be negligible at the beginning and the end of the experiment.

$$Y_{B_S} = \frac{(\Delta \text{ suspended solids COD} - \Delta B_{STO})/Y_H + \Delta B_{STO} + (r_{O_2 \text{ maintenance}}/Y_H) \Delta t}{\Delta \text{ soluble COD}}$$

[13]

$$Y_{B_S} = \frac{\Delta [(X_H + E_G)/Y_H] + \Delta B_{STO} + [(1 - Y_H)/Y_H] E_G \beta_{E_G} \Delta t}{\Delta \text{ soluble COD}}$$

After exhaustion of soluble substrate one can evaluate the actual growth yield on  $B_S$ .

$$[14] \quad Y_H = \frac{\Delta (E_G + X_H) + (1 - Y_H) E_G \beta_{E_G} \Delta t}{\Delta B_{STO}}$$

However, it is assumed that no respiration is associated with the synthesis of storage product ( $Y_{B_{STO}/B_S} = 1$ ). As discussed by Dircks et al. (2001), a gap of only 4% is observed between the yield of biomass on internal substrate and the yield of biomass

on storage product. This simplification is required for the easy independent identification of the yield constant  $Y_{B_S}$  and  $Y_H$ .

The kinetic constants of the hydrolysis process can be identified from a curve fit on data from batch experiments (as carried out by Orhon et al. (1999) and Goel et al. (1998)). With sludge taken from chemostats operated at different dilution rates, the batch experiments will give different initial  $k_h$  values for the different sludges. The increase of the  $k_h$  rate along the experiment will give the  $\alpha_{E_h}$  value.  $k_h^{\max}$  will be found from a curve

fit on the  $k_h$  variation and the corresponding value of  $E_h$ .

The variation of the structure of the population remains unknown. However, it is known that selection within a population can take more than days or weeks, and the time constant of this function is large (Roels 1982). Therefore, short experiments can be performed in which the population structure is assumed to be constant. Further research is required to obtain a formulation of this function.

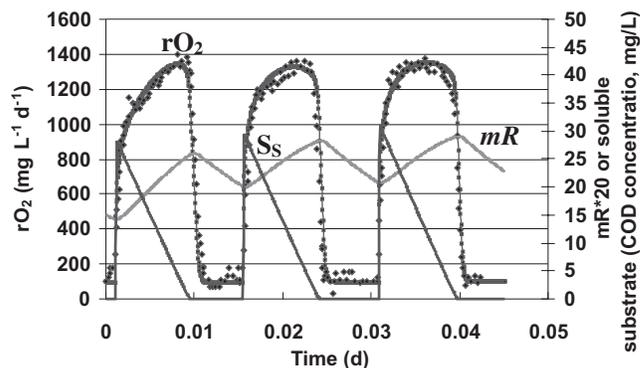
## 5. Fitting the model to data

The value of a model is often expressed by its capacity to fit data. Therefore, data found in the literature were fitted with the model. It was built on GPS-X™ using the spreadsheet utility Model Developer (Hydromantis Inc., Canada).

Under aerobic conditions, the oxygen uptake rate (OUR) can be associated with the active biomass concentration and its specific activity. Some specific activity fluctuations (or specific growth rate fluctuations) are related to “endogenous variables” such as the  $mR$  and  $E_G$  levels. This complex behaviour can be observed in the data of Vanrolleghem et al. (1998) for OUR start-up phenomena when sludge starved for 12 h was dosed with three pulses of  $S_S$  at 22-min intervals (Fig. 3). The start-up phenomenon could be explained as follows: the growth process induces an increase of the specific activity and starvation induces a loss of specific activity, resulting in a rise and a drop in growth rate and in OUR, respectively (Albertson et al. 1990). Albertson et al. (1990) observed a rapid increase of the transcription rate in the first 60 s. After the first minute, the translation rate increased and within the first 10 min it reached five times the initial rate. This was accompanied by a proportional increase of the respiration rate. This behaviour is similar to that observed by Vanrolleghem et al. (1998). With the model, this behaviour is simulated as follows. Because  $mR$  has a short half-life, a decrease of the  $mR$  pool occurs during the 12 h starvation period and after the exhaustion of the substrate. The available substrate is used for reconstruction of the  $mR$  pool, raising the specific OUR, as shown in the two first substrate pulses in Fig. 4. After the second pulse, the  $mR$  concentration reaches the saturation level, and the decline of the specific activity associated with a decay of the  $mR$  concentration is not observed on the third pulse. The proposed analysis is a simplified view of time-varying PSS activity, but the fit of the model with this experiment gives good agreement with the data.

With this simple experiment it is possible to identify  $\beta_{mR}$  and also  $\alpha_{mR}$ , since the time constant of  $E_G$  is larger. Indeed, the fit was quite sensitive to  $\alpha_{mR}$  and  $\beta_{mR}$ . No storage occurred as no tailing of OUR was observed. The calculated level of internal substrate was always less than COD of 5 mg/L (of bulk liquid), and this value is in agreement with those observed in the literature (Chassagnole et al. 2002; Vanrolleghem et al. 2004). Moreover, it was not possible to model the observed variation using only substrate uptake and storage without using the dynamics of the variable  $[mR]$ . Also, the identified value of  $\beta_{mR}$  gives a half-life of 20 min. This value is of the same magnitude as the

**Fig. 4.** OUR start-up phenomenon observed when 3 pulses of  $S_S$  are dosed (data from Vanrolleghem et al. 1998).



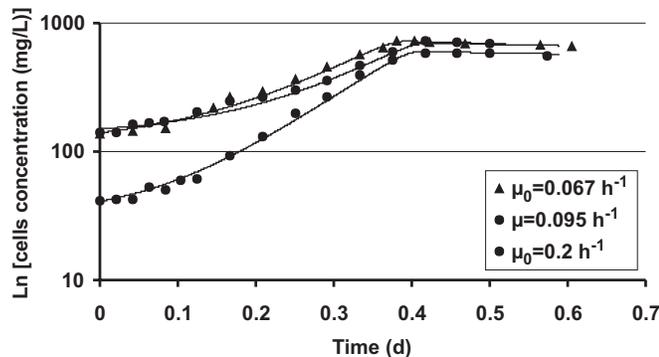
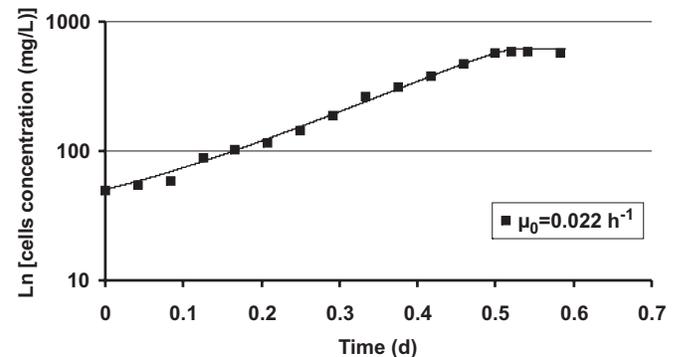
half-life of  $mR$  found in literature (Östling et al. 1993). Thus, the proposed mathematical formulation seems to be in agreement with the real processes. The parameters identified using this experiment are given in Table 4. The parameters identified in the fitting on data of Vanrolleghem et al. (1998) were used to fit other parameters representing slow processes to data first presented by Chiu et al. (1973). In these experiments, bacteria were cultivated in chemostats with imposed dilution rates, and the cells were taken out of the chemostats and put in batch reactors. Daigger and Grady (1982a) re-examined these data and showed that an increase of growth rate took place within a few hours after the shift up and that the lag phase was dependent on the initial growth conditions. To perform the fit shown on Fig. 5, only the initial values of state variables as  $X_H$ ,  $E_G$ ,  $[mR]$ ,  $E_{BS(1)}$  and  $E_{BS(2)}$  were changed. The set of parameters used is given in Table 5. The parameters related to the uptake processes (in  $r_2$  and  $r_8$ ) were identified using the substrate concentration data from Chiu et al.’s batch experiments (data not showed). To minimize the number of degrees of freedom, only the substrate uptake and the growth and storage processes were modeled. The other processes ( $r_9$  to  $r_{13}$ , and  $r_{20}$  to  $r_{25}$ ) were set to zero. Additional data are required to identify the parameters included in these processes. In the fit of Vanrolleghem et al.’s (1998) data, shown in Fig. 4, the  $K_{S(1)} = 0.2$  mg/L was used. To perform the fit of Chiu et al.’s data, the  $K_{S(2)}$  value was set close to 40 mg/L. These different values are of the same magnitude as those obtained by Chiu et al. (1973) in steady-state culture with dilution rates of 0.022 and 0.6  $h^{-1}$ , respectively, or by Ferenci (1999) for two transport enzymes. The batch experiment favours the induction of an uptake system with low affinity and high capacity and therefore increases the specific substrate uptake rate and the observed  $K_S$  value, as seen in these fits. The observed  $K_S$  value and the specific substrate uptake rate change according to the relative induction of the two transport systems. The values of  $\beta_{E_A}$  and enzyme decay ( $\beta_{E_X}$ ) were chosen according to literature values (Aizenman et al. 1996; Cozzone 1981). Finally, all the data shown were fitted with the single set of parameters identified. Thus, with the same set of parameters, the model is able to describe short and long transients. It is not possible to fit all these data with the usual ASM without changing the values of the parameters because  $\mu_{Hmax}$

**Table 4.** Identified parameters on experiment of Vanrolleghem et al. (1998).

Parameter	Symbol	Units	Value
Maximal heterotrophic growth rate	$\mu_H$	$d^{-1}$	30
Yield coefficient	$Y_{BS}$	COD/COD, g/g	0.92
Half saturation constant	$K_{S(1)}$	COD, mg/L	0.2
Half saturation constant	$K_{BS}$	mg $mg^{-1}$	0.0015
Half saturation constant	$K_{mR}$	unit $mg^{-1}$	0.007
Ratio of production rate of $E_G$ and $\mu_H$	$\phi_{EG}$	mg $mg^{-1}$	1.3
Production rate of mR	$\alpha_{mR}$	$d^{-1}$	0.75
Production rate of $E_{BS(1)}$	$\alpha_{E_{BS(1)}}$	$d^{-1}$	15
Maximum specific activity of substrate uptake enzyme (1)	$k_{BS(1)}^{max}$	COD, $g\ g^{-1}\ d^{-1}$	41
Decay rate of mR	$\beta_{mR}$	$h^{-1}$	2.083

**Table 5.** Additional parameters used to perform the fits of Chiu et al. (1973).

Parameter	Symbol	Units	Value
Yield coefficient	$Y_H$	COD/COD, g/g	0.73
Half saturation constant	$K_{S(2)}$	COD, mg/L	40
Maximum specific activity of substrate uptake enzyme (1)	$k_{BS(1)}^{max}$	COD, $g\ g^{-1}\ d^{-1}$	10
Maximum specific activity of substrate uptake enzyme (2)	$k_{BS(2)}^{max}$	COD, $g\ g^{-1}\ d^{-1}$	26
Maximum specific activity of storage enzyme	$k_{STO}^{max}$	COD, $g\ g^{-1}\ d^{-1}$	8
Maximum specific activity of degradation enzyme of storage product	$\delta k_{STO}^{max}$	COD, $g\ g^{-1}\ d^{-1}$	5
Half saturation constant	$K_{STO}$	(COD of $B_{STO}$ )/(COD of $X_H$ ), $mg\ mg^{-1}$	0.7
Production rate of $E_{BS(2)}$	$\alpha_{E_{BS(2)}}$	$d^{-1}$	51
Production rate of $E_{STO}$	$\alpha_{E_{STO}}$	$d^{-1}$	43
Decay rate of $E_G$	$\beta_{EG}$	$d^{-1}$	1.44
Decay rate of $E_{BS(1)}$	$\beta_{E_{BS(1)}}$	$d^{-1}$	1.44
Decay rate of $E_{BS(2)}$	$\beta_{E_{BS(2)}}$	$d^{-1}$	1.44
Decay rate of $E_{STO}$	$\beta_{E_{STO}}$	$d^{-1}$	1.44

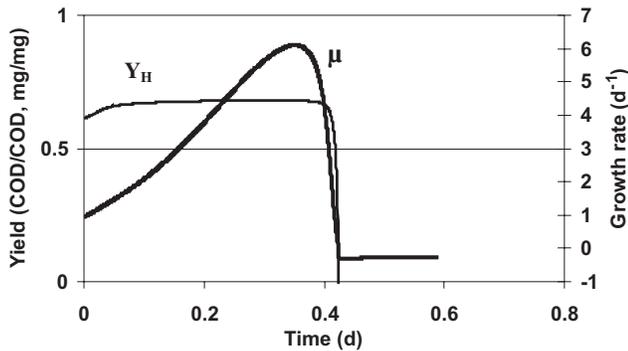
**Fig. 5.** Fit of lag phase (data from Chiu et al. 1973).**Fig. 6.** Fit of a lag phase (data from Chiu et al. 1973).

varies during these experiments. With Chiu et al.'s (1973) data, ASMs will simply give straight lines with the same slope. With Vanrolleghem et al.'s (1998) data, ASM1 will give three square waves and ASM3 will give three identical waves with tailing.

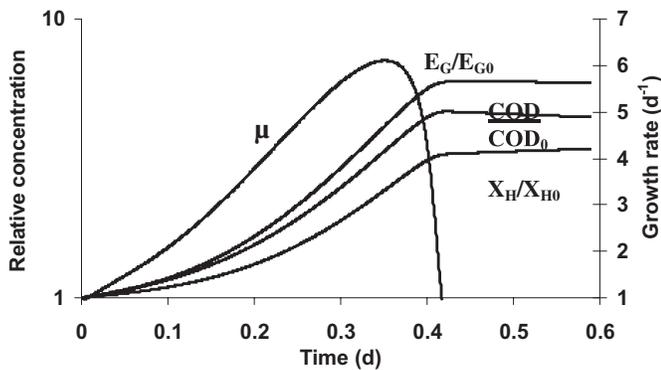
To model the data presented in Fig. 6, the yield value ( $Y_H$ ) used to perform the fit was lower ( $Y_H = 0.66$ ) than the one used for modeling of the other experiments ( $Y_H = 0.73$ ). In Fig. 6, one sees that the initial growth rate was  $0.022\ h^{-1}$ , lower than those in Fig. 5. The lower yield might be justified by an uncoupling between the growth process and the oxidation process under a critical growth rate (Daigger and Grady 1982b).

The uncoupling phenomenon is not modeled here. Hence, it was necessary to modify the yield coefficient to perform the fit with a low initial growth rate. To perform the fit with a  $Y_H$  of 0.73 (Fig. 6), some trials were done using several values of  $E_G$  turnover; however, a higher turnover of this component had a significant impact on the growth process but only a small one on the observed yield. Alternatively, an increase in soluble microbial product production was modeled with the  $B_S$  release process. This process had a significant impact on the substrate concentration but still only a small one on the observed yield. This deficiency in the description of the biomass is a limit in

**Fig. 7.** Simulated variation of cell characteristics during a batch experiment ( $\mu_0 = 0.095 \text{ h}^{-1}$ ).



**Fig. 8.** Simulated relative concentrations of components during a batch experiment ( $\mu_0 = 0.095 \text{ h}^{-1}$ ).



the application of the model. Perhaps futile cycles should be included in the model to enlarge the application range of the model.

Nevertheless, the turnover of  $E_G$  had some impact on the yield. Figure 7 shows the typical variation of the yield during the batch experiment. In the first phase of the experiment, the growth rate is low, and the maintenance of  $E_G$  uses a greater fraction of the substrate taken up. As the growth rate increases, the percentage of substrate used for the maintenance process decreases and the yield increases. Thus, in steady-state culture, the maintenance process decreases the observed yield, as discussed by Pirt (1965). Also, although the death of active cells was set to zero, after the substrate exhaustion the growth rate is negative, as discussed by Herbert (1958). For a starving biomass, the turnover of  $E_G$  ( $\beta_{E_G} = 0.06 \text{ h}^{-1}$ ) gave a respiration close to that of the usually observed endogenous respiration (2 to 5 mg of  $O_2$  per hour per gram of volatile suspended solids) of activated sludge or that calculated with the decay rate default value in ASM (Avcioglu et al. 1998; Henze et al. 2000; Lavallée et al. 2002).

The relative concentrations of  $E_G$  and  $X_H$  and the growth rate are shown in Fig. 8. The cell components are expressed as a ratio to the initial concentration. In this figure one can see that between 0 and 0.17 d, the growth rate increases faster than the  $E_G$  component, indicating that the [mR] variable has

an influence on the growth rate. Between 0.17 and 0.27 d the growth rate increases at the same rate as  $E_G$ , indicating that  $E_G$  is rate limiting according to the RNA-limiting theory (Daigger and Grady 1982b). After 0.27 d the growth rate increases slower than  $E_G$ , indicating that another process has become rate-limiting. The exogenous substrate concentration becomes rate-limiting ( $S_S < 2K_{S(2)}$ ) only after 0.37 d, and according to the calibration procedure, in such circumstances the substrate uptake is rate limiting. This analysis is in agreement with the analysis done by Daigger and Grady (1982b) on RNA, proteins, and DNA production rates in similar experiments. Hence, the use of the proposed model could help in the understanding of transient behaviours occurring in activated sludge under organic shock loads, for instance. Obviously this should be done with care, and several validation steps of the model on appropriate data remain to be performed.

## 6. Conclusion

The proposed model gives a more realistic picture of active biomass and of its specific activity, but further research is required to support the model with experimental data. This model allows fitting of several data sets found in the literature with a single set of parameters. It therefore improves the quality of the kinetic information obtained by parameter estimation. Also, through the use of DNA measurement to estimate active biomass, it will be possible to assess the specific values of parameters. This will help to increase our understanding of processes occurring within cells under transients. If the specific activity description of biomass gives a good description of the real behaviour of the active biomass under transient, the proposed formulation will make the kinetic constant evaluation a procedure that is independent of sludge age and of the process configuration. Thus, after substrate characterization, a single set of values for kinetic parameters would fit the response of different processes. This will eventually make the model a helpful tool for research and understanding of treatment processes.

The formulation of the proposed model opens several topics of further research. Thus, considerable work is still to be done to explore these new areas. Several validation steps of the model on appropriate data remain to be performed.

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## List of symbols

$b_{MF}$	death rate coefficient of micro fauna ( $d^{-1}$ )
$b_{X_T}^{max}$	maximum death rate coefficient of biomass related to toxin $X_T$ ( $d^{-1}$ )
$B_S$	concentration of endogenous substrate $B_S$ (COD, $mg L^{-1}$ )
$B_{STO}$	concentration of stored endogenous substrate $B_{STO}$ (COD, $mg L^{-1}$ )
$E_A$	concentration of antitoxin enzyme $E_A$ (unit $L^{-1}$ )
$E_{B_S}$	concentration of transport enzyme $E_{B_S}$ (unit $L^{-1}$ )
$E_G$	concentration of growth enzyme $E_G$ (unit $L^{-1}$ )
$E_h$	concentration of hydrolysis enzyme $E_h$ (unit $L^{-1}$ )
$E_{STO}$	concentration of storage enzyme $E_{STO}$ (unit $L^{-1}$ )
$E_T$	concentration of toxin enzyme $E_T$ (unit $L^{-1}$ )
$f_u$	non-biodegradable fraction of particulate material (COD, $mg mg^{-1}$ )
$i_{XB}$	nitrogen fraction of active biomass ( $X_H$ ) and growth enzyme ( $E_G$ ) ( $mg N$ per ( $mg COD$ ))
$k_{B_S}^{max}$	maximum specific activity of uptake enzyme $E_{B_S}$ ( $d^{-1}$ )
$k_h$	specific activity of hydrolysis enzyme ( $E_h$ ) ( $d^{-1}$ )
$k_h^{max}$	maximum specific activity of hydrolysis enzyme ( $E_h$ ) ( $d^{-1}$ )
$k_{RB_S}^{max}$	maximum release rate of endogenous substrate $B_S$ ( $d^{-1}$ )
$k_{STO}^{max}$	maximum specific activity of storage process enzyme $E_{STO}$ ( $d^{-1}$ )
$K_{B_S}$	affinity constant for endogenous substrate (COD, $mg mg^{-1}$ )
$K_{IT}$	half saturation coefficient of toxin inhibition for the antitoxin (unit $mg^{-1}$ )
$K_{MFO}$	affinity constant of microfauna for dissolved oxygen ( $O_2$ , $mg L^{-1}$ )

$K_{mR}$	affinity constant for mR (unit $\text{mg}^{-1}$ )	$Y_{B_{STO}/B_S}$	yield coefficient of storage product on endogenous substrate (mg COD of $B_{STO}$ per (mg COD of $B_S$ ))
$K_{NH}$	affinity constant for ammonia (N, $\text{mg L}^{-1}$ )	$Y_H$	yield coefficient of biomass on stored product (mg COD of cell per (mg COD of $B_{STO}$ ))
$K_O$	affinity constant for dissolved oxygen ( $O_2$ , $\text{mg L}^{-1}$ )	$Y_{MF}$	yield coefficient of microfauna on bacteria (mg COD of protozoa per (mg COD of $X_H$ ))
$K_{RB_S}$	affinity constant of substrate release process for endogenous substrate (COD, $\text{mg mg}^{-1}$ )	$\alpha_{EA}$	production rate of antitoxin enzyme $E_A$ ( $\text{d}^{-1}$ )
$K_{S(1or2)}$	affinity constant of transport process 1 or 2 for soluble substrate (COD, $\text{mg L}^{-1}$ )	$\alpha_{E_{BS(1or2)}}$	production rate of uptake enzyme $E_{B_S(1or2)}$ ( $\text{d}^{-1}$ )
$K_{STO}$	affinity constant for stored product (mg COD per (mg COD of $X_H$ ))	$\alpha_{Eh}$	inductive production rate of hydrolysis enzyme $E_h$ ( $\text{d}^{-1}$ )
$K_{X/MF}$	affinity constant of growth of protozoa for biomass (COD, $\text{mg L}^{-1}$ )	$\alpha_{E_{STO}}$	production rate of storage enzyme $E_{STO}$ ( $\text{d}^{-1}$ )
$K_{X_S}$	affinity constant for particulate substrate (COD, $\text{mg L}^{-1}$ )	$\alpha_{ET}$	production rate of toxin enzyme $E_T$ ( $\text{d}^{-1}$ )
[mR]	concentration of mR, the short half life component of the PSS (unit $\text{L}^{-1}$ )	$\alpha_{mR}$	production rate of mR ( $\text{d}^{-1}$ )
$r_{O_2 \text{ maintenance}}$	respiration rate related to maintenance ( $O_2$ $\text{mg L}^{-1} \text{d}^{-1}$ )	$\beta_{EA}$	decay rate of antitoxin enzyme $E_A$ ( $\text{d}^{-1}$ )
$S_0$	initial concentration of substrate (COD, $\text{mg L}^{-1}$ )	$\beta_{E_{BS}}$	decay rate of uptake enzyme $E_{B_S(1or2)}$ ( $\text{d}^{-1}$ )
$S_{NH}$	concentration of ammonia (N, $\text{mg L}^{-1}$ )	$\beta_{EG}$	decay rate of growth enzyme $E_G$ ( $\text{d}^{-1}$ )
$S_O$	concentration of dissolved oxygen ( $O_2$ , $\text{mg L}^{-1}$ )	$\beta_{Eh}$	decay rate of hydrolysis enzyme $E_h$ ( $\text{d}^{-1}$ )
$S_S$	concentration of soluble substrate (COD, $\text{mg L}^{-1}$ )	$\beta_{E_{STO}}$	decay rate of transportation enzyme $E_{STO}$ ( $\text{d}^{-1}$ )
$X_0$	initial concentration of biomass (COD, $\text{mg L}^{-1}$ )	$\beta_{ET}$	decay rate of toxin enzyme $E_T$ ( $\text{d}^{-1}$ )
$X_H$	concentration of heterotrophic organisms (COD, $\text{mg L}^{-1}$ )	$\beta_{mR}$	decay rate of mR ( $\text{d}^{-1}$ )
$X_{MF}$	concentration of microfauna, including protozoa and metazoa (COD, $\text{mg L}^{-1}$ )	$\delta k_{STO}^{max}$	maximal specific activity of degradation enzyme of storage product ( $\text{d}^{-1}$ )
$Y_{B_S}$	yield coefficient of endogenous substrate on exogenous substrate (mg COD of $B_S$ per (mg COD of $S_S$ ))	$\mu_H$	growth rate of heterotrophic organisms ( $\text{d}^{-1}$ )
		$\mu_{Hmax}^{int} [\text{d}^{-1}]$	intrinsic maximum value of growth rate of biomass ( $\text{d}^{-1}$ )
		$\mu_{MFmax} [\text{d}^{-1}]$	the maximum growth rate of micro fauna ( $\text{d}^{-1}$ )
		$\phi_{EG}$	ratio of production rate of growth enzyme $E_G$ and growth rate (mg/mg)