

# Adaptive modelling of SBRs and effects of model-based optimization on the actual SBR performance

Peter A. Vanrolleghem, Kris Villez and Gürkan Sin

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UGent-Biomath, Coupure 653, 9000 Gent, Belgium (gurkan@biomath.ugent.be)

## Outline

- Introduction
- Systematic optimisation protocol
- Evaluation of the protocol
  - Definition of objective(s)
  - Framework of the optimisation
  - Model selection and calibration
  - Scenario analysis
  - Evaluation of the scenario analysis
- Limitations of the model-based optimisation
- Conclusions & perspectives

## Introduction

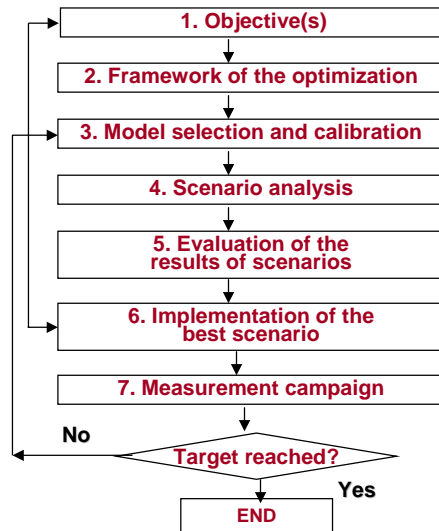
- Both N & P removal successfully demonstrated at lab- and full-scale SBR installations.
- SBR offers more flexibility in operation (compared to continuous systems) – a key aspect in process optimisation.
- Many possible operating strategies to optimise nutrient removal performance in SBRs.
- Usually process developed at lab- or pilot-scale & only comparison of a few operating scenarios
- Increasingly, mathematical models are used to search for the optimal operating scenario (e.g. ASM1 for N-removal and ASM2d for N- & P- removal)

## Statement of Objective

- Systematize and standardize the model-based optimisation of SBRs. Important:
  - i. to ensure an objective and detailed search for an optimal operating strategy
  - ii. for internal quality check
  - iii. to compare different optimisation studies

## Systematic optimisation protocol

- Objective oriented & iterative protocol
- A grid of scenarios (full-factorial design) built on the basis of the degrees of freedom and the constraints of the SBR system
- Selection and calibration of a suitable model to describe the biological processes
- Simulation and evaluation of a multitude of scenarios
- Selection of the best scenario
- Implementation & final evaluation



## Evaluation of the systematic protocol 1. Objective

- Improved and robust N and P removal in a nutrient removing SBR

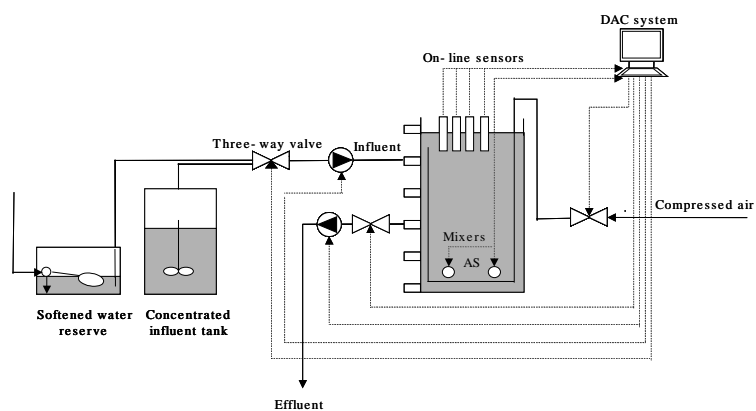
## 2. Framework of the optimisation

- *The SBR system:*
  - A lab-scale reactor with 80 L treating a synthetic wastewater



## 2. Framework of the optimisation *cont'd.*

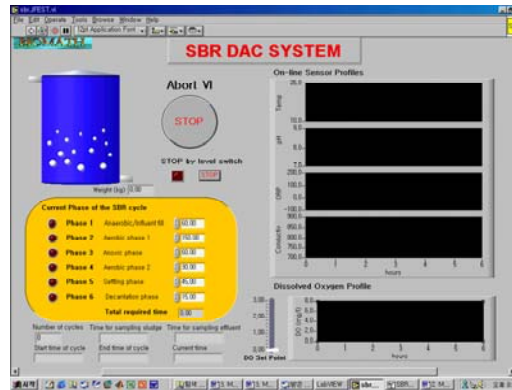
- *The SBR system:*
  - A lab-scale reactor with 80 L treating a synthetic wastewater



## 2. Framework of the optimisation *cont'd.*

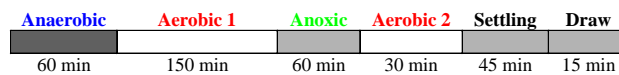
- *The SBR system:*
  - A lab-scale reactor with 80 L treating a synthetic wastewater

*LABVIEW Data-acquisition and Control*



## 2. Framework of the optimisation *cont'd.*

- *Characteristics*
  - V= 80 l
  - SRT= 10 d, HRT = 12h
  - synthetic influent (COD/N/P = 100/13,7/2,14) similar to municipal wastewater
  - 4 cycles per day (6 hours)

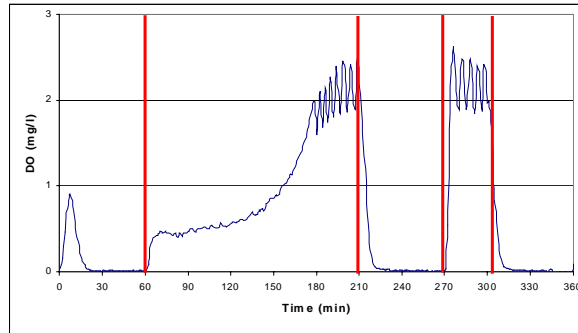


- *Measurements*
  - DO, pH, ORP, conductivity, weight (on-line - minute)
  - COD, CODsol, Total-N, NH<sub>4</sub>, NO<sub>3</sub>, NO<sub>2</sub>, PO<sub>4</sub> (off-line - daily)
  - MLSS (2-3 g/l), SVI (80-120 ml/g) (off-line - daily)
  - DGGE (microbial community) (off-line - weekly)

## 2. Framework of the optimisation *cont'd*

- *The SBR system: Typical process data*

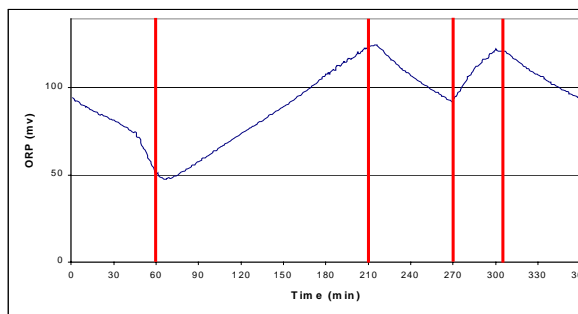
Dissolved oxygen



## 2. Framework of the optimisation *cont'd*

- *The SBR system: Typical process data*

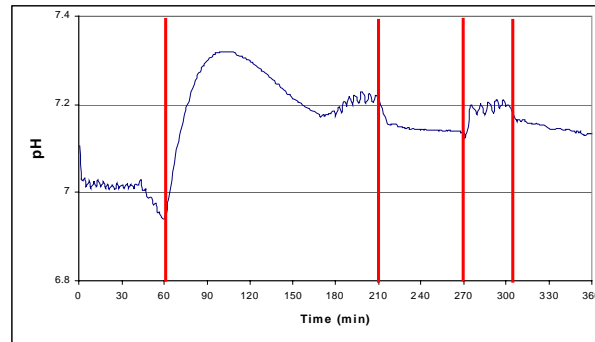
Redox potential (aeration +, denitrification - )



## 2. Framework of the optimisation *cont'd*

- *The SBR system: Typical process data*

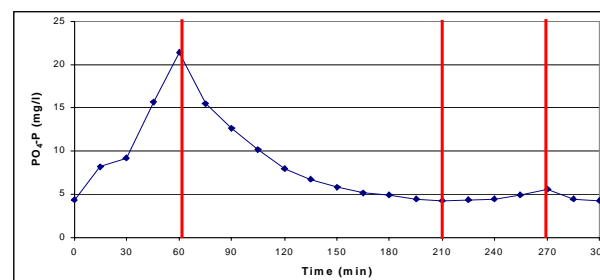
pH



## 2. Framework of the optimisation *cont'd*

- *The SBR system: Typical process data*

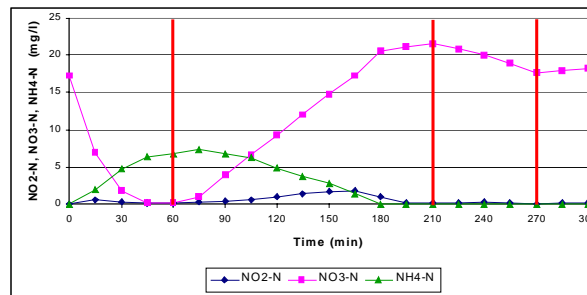
Phosphate



## 2. Framework of the optimisation *cont'd*

○ *The SBR system: Typical process data*

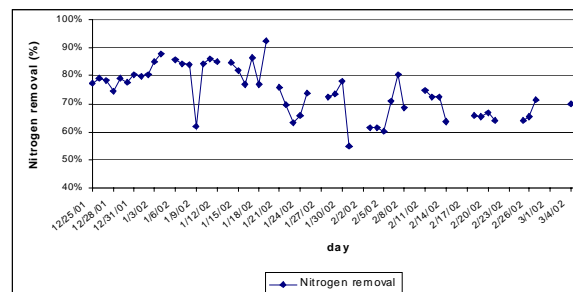
Nitrogen fractions ( $\text{NH}_4$ ,  $\text{NO}_3$ ,  $\text{NO}_2$ )



## 2. Framework of the optimisation *cont'd.*

○ *Performance:*

- 95 % COD-removal
- 65 % N-removal
- complete nitrification / incomplete denitrification
- 65 %  $\text{PO}_4$ -removal (limited because of nitrate presence)





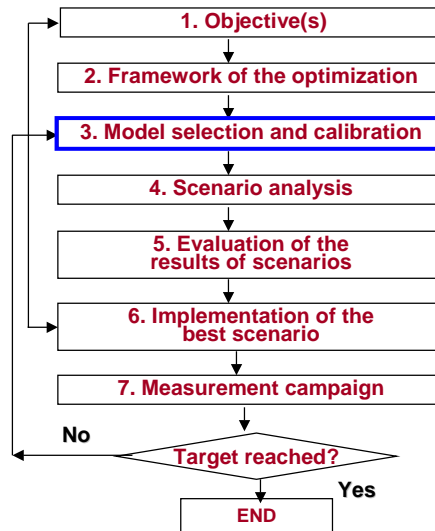
## 2. Framework of the optimisation *cont'd.*

- *The SBR system:*
  1. A lab-scale reactor with 80 L treating a synthetic wastewater
- *Degrees of freedom (based on systems-analysis)*
  1. Oxygen set-point ( $S_{O-sp}$ )
  2. Length of the anaerobic phase ( $T_{ANB}$ )
  3. Length of the reaction (aerobic + anoxic) ( $T_R$ ),
  4. Step-feed of the influent to anoxic periods ( $V_{step-feed}$ )
  5. Intermittent aeration frequency during the react phase  
i.e. more than 1 aerobic/anoxic phase per SBR cycle of 6 hours

## 2. Framework of the optimisation *cont'd.*

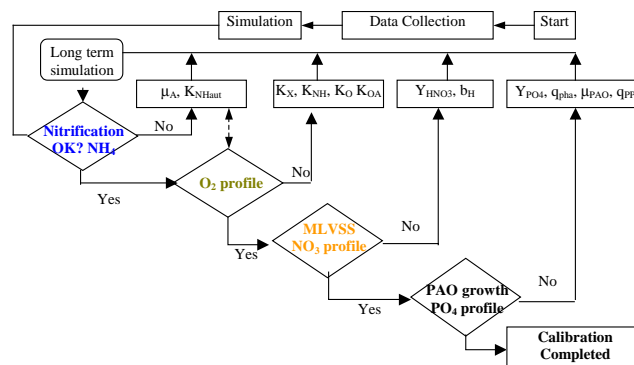
- *Constraints*
  1. Total volume (80 L)
  2. The volumetric exchange ratio,  $V_{initial}/V_{total}$  (0.5)
  3. SRT (10 d) & HRT (12 h)
  4. The total cycle length (360 min)
  5. The  $K_La$  is sufficiently high to ensure oxygen at set-point value
  6. The settling/draw phase fixed (60 min)

## Systematic optimisation protocol



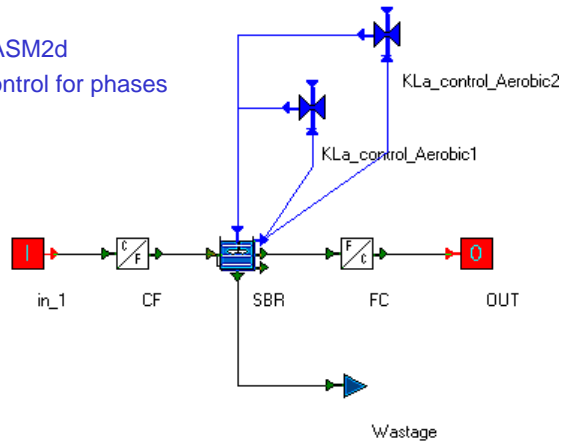
## 3. Model selection and calibration

- *Selected model:*  
ASM2d extended with hydrolysis of organic nitrogen module of ASM1
- *Systematic calibration procedure:*



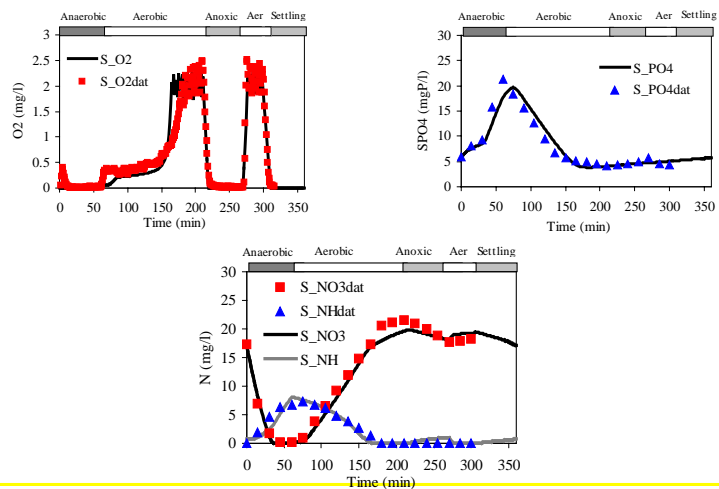
### 3. Model selection and calibration

- *Model implementation:*  
in WEST® with
  - extended ASM2d
  - aeration control for phases



### 3. Model selection and calibration *cont'd.*

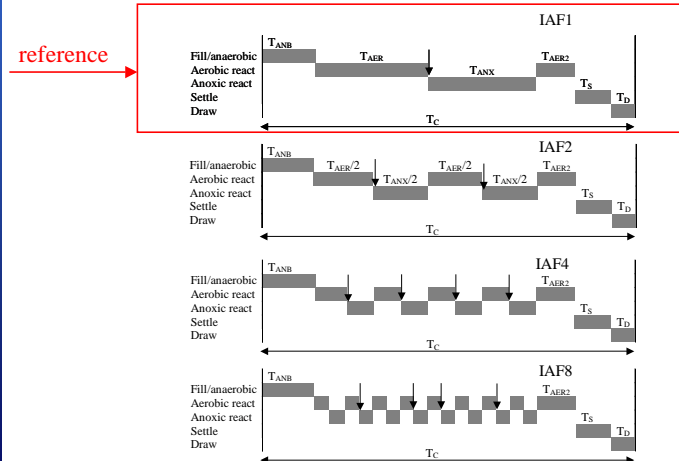
- *Calibration results:*



## 4. Scenario analysis

- Formulation of grids of scenarios:

Configuration of intermittent aeration frequencies & step-feed of influent (↓)



## 4. Scenario analysis cont'd.

- Construction of grids of scenarios

- Choose a range and interval for the degrees of freedoms
  - $S_{O-sp}$ : [0.2, 0.4, 0.6, 0.8, 1.0]
  - $V_{step-feed}$ : [0, 5, 10]
  - $T_{ANB}$ : [60, 70, 80]
  - $T_{AER}$ : [130, 140, 150]
  - Intermittent aeration frequency: [1, 2, 4, 8]
- Full-factorial design of degrees of freedoms:
  - ➔ total 648 scenarios
- Simulate each scenario for 3 X SRT, in this case 30 days

## 4. Scenario analysis cont'd.

- WEST Scenario analysis tool

The screenshot shows the WEST Scenario Analysis tool interface. The main window displays a process flow diagram with components like 'Influent', 'Controller', 'Flowfilter', and 'Waste'. A 'Scenario Analysis' dialog box is open, showing a table of parameters and their distributions.

Name	Method	Distribution				Vector size
		Reference	Minimum	Maximum		
SbrCycle.ExtraTimeANB	Linear equally spaced	0	0	0.013888888888888888		3
SbrCycle.timer.FeedVolANX	Linear equally spaced	0	0	0.01		3
SbrCycle.timer.TimeAER	Linear equally spaced	0	0	0.013888888888888888		3
SbrCycle.controller_1.y_S	Linear equally spaced	0.5	0.2	1		5

## 4. Scenario analysis cont'd.

- WEST Scenario analysis tool: Scenario generator

The screenshot shows the 'Scenario runs' tab of the WEST Scenario Analysis tool. A table lists parameters and their distributions. A red circle highlights the 'SbrCycle.controller\_1.y\_S' parameter, and a red arrow points to the text 'S<sub>0</sub>-sp: [0.2, 0.4, 0.6, 0.8, 1.0]'.

Name	Method	Distribution				Vector size
		Reference	Minimum	Maximum		
SbrCycle.ExtraTimeANB	Linear equally spaced	0	0	0.013888888888888888		3
SbrCycle.timer.FeedVolANX	Linear equally spaced	0	0	0.01		3
SbrCycle.timer.TimeAER	Linear equally spaced	0	0	0.013888888888888888		3
SbrCycle.controller_1.y_S	Linear equally spaced	0.5	0.2	1		5

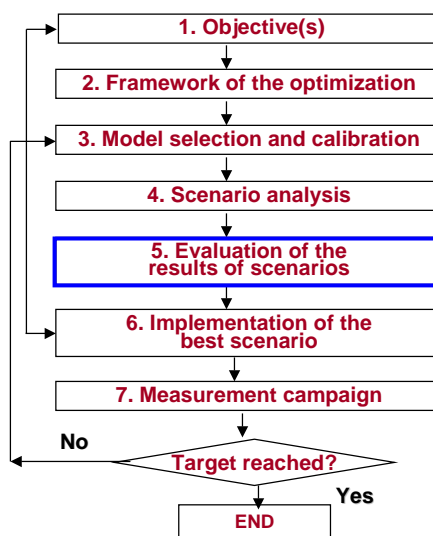
**S<sub>0</sub>-sp: [0.2, 0.4, 0.6, 0.8, 1.0]**

## 4. Scenario analysis cont'd.

- WEST Scenario analysis tool: Scenario results window

RUN		PARAMETERS		
Runnr	Prog...	.SbrCycle.ExtraTimeANB	.SbrCycle.timer.FeedVolIANX	.SbrCycle.timer.TimeAE
1		0.2	0	0.006944444444444
2		0.2	0	0.006944444444444
3		0.2	0.006944444444444	0.006944444444444
4		0.2	0.006944444444444	0.006944444444444
5		0.2	0	0.013888888888889
6		0.2	0.013888888888889	0.013888888888889
7		0.2	0.006944444444444	0.013888888888889
8		0.2	0.013888888888889	0.006944444444444
9		0.2	0.013888888888889	0.013888888888889
10		0.4	0	0.006944444444444
11		0.4	0	0.006944444444444
12		0.4	0.006944444444444	0.006944444444444
13		0.4	0.006944444444444	0.006944444444444
14		0.4	0	0.013888888888889
15		0.4	0.013888888888889	0.013888888888889

## Systematic optimisation protocol



## 5. Evaluation of the scenarios

- Effluent quality

Effluent quality of 648 scenarios were analysed

Conclusions:

- Increasing  $T_{ANB}$  improves P-removal but decreases N-removal
- Increasing  $T_{AER}$  slightly improves the nitrification but has a negative effect on denitrification.
- The  $S_{O-sp}$  dictates the overall behaviour of the system.
- Step-feed has a positive effect on the denitrification.
- Increasing the intermittent aeration frequency (IAF) increases N & P removal

## 5. Evaluation of the scenarios *cont'd.*

- Robustness index (Vanrolleghem & Gillot, 2002)

- Inverse of sensitivity of a system towards a change in operating conditions.

$$RI = \left( \sqrt{\frac{1}{p} \sum_{i=1}^p S_i^2} \right)^{-1} \quad \text{where } S_i = \frac{dCost}{d\theta_i} \cdot \frac{\Delta\theta_i}{Cost} \quad i=1..p \quad \text{and } Cost = [TN, PO_4]$$

- High value of RI (low sensitivity) means high robustness
- The following changes were manipulated in the SBR system:
  - SRT (-10%)
  - HRT (+10%)
  - Influent COD load (-10%)
  - Temperature (-33%)

## 5. Evaluation of the scenarios *cont'd.*

- RI calculated for each operating scenario, general conclusions:
  - RI conflicts with effluent quality:  
e.g. the robustness peaks when the  $S_{O-sp}$  of the SBR is 0.2, but at this point the effluent quality is worst.
  - N-removal is most sensitive towards a change in temperature
  - P-removal is most influenced by the influent COD-load
  - The reference system provided the highest robustness for N-removal
  - The best scenario in IAF4 provided the most robust P-removal

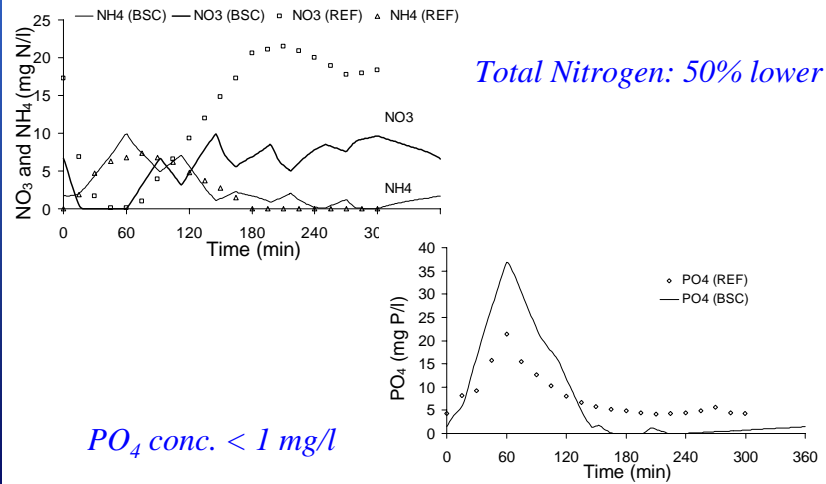
## 5. Evaluation of the scenarios *cont'd.*

- Selection of the best scenario (BSC)
  - Effluent quality and robustness criteria conflict
    - ➔ A compromise is needed
  - Optimal operation under IAF4 is chosen:
    - provides effluent quality below discharge standards
    - accompanied with good system stability.
  - The N & P removal is improved by 54% and 74% respectively.

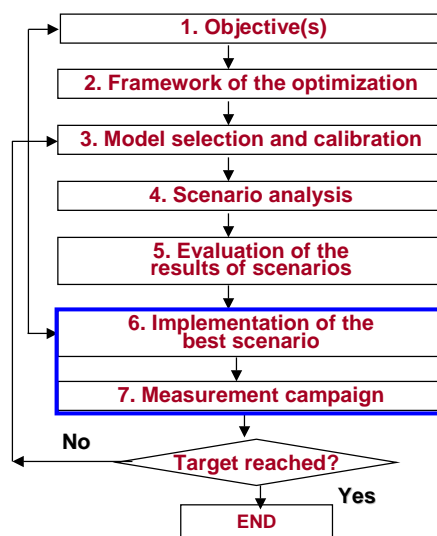


## 5. Evaluation of the scenarios *cont'd.*

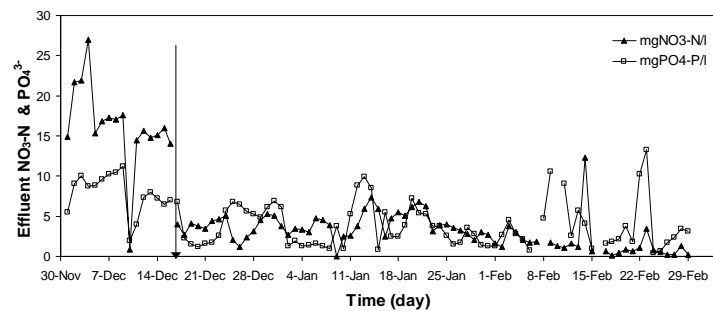
- Simulation of best scenario: 4 aeration phases



## Systematic optimisation protocol



### o Nutrient removal results



Beautiful, better than expected !

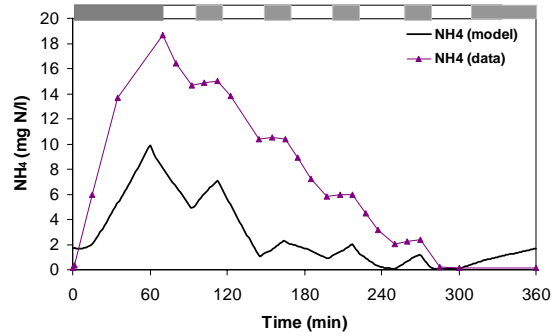
### o Nutrient removal results

	Total Nitrogen mgN/l	NH <sub>4</sub> -N mgN/l	NO <sub>3</sub> -N mgN/l	PO <sub>4</sub> -P mgP/l
Influent	60	5	0	11
<b>Effluent concentrations</b>				
Model prediction	<b>8.4</b>	<b>1.7</b>	<b>6.7</b>	<b>1.5</b>
Reference operation	18.1	0.1	12.5	6.6
Optimal operation	8.6	1.1	3.1	3.8
<b>Removal efficiency</b>				
Reference operation	70%	-	-	48%
Optimal operation	86%	-	-	65%
Improvement	+53%	-	+76%	+43%

Indeed, better than expected !

## Measurement campaign + Model validation

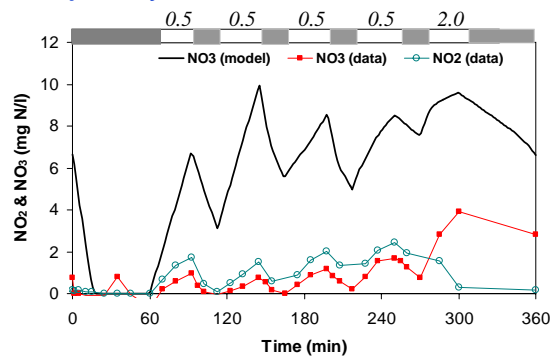
### Ammonia trajectory:



No more ammonification !

## Measurement campaign + Model validation

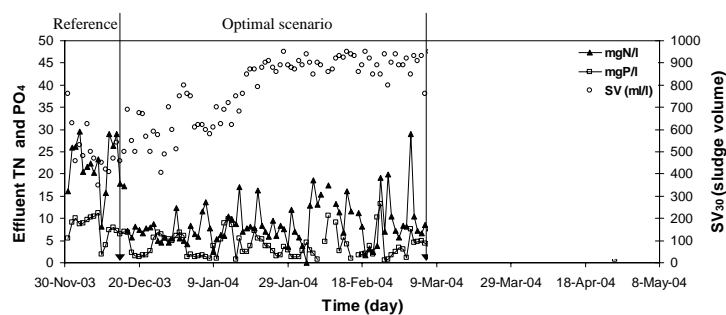
### Nitrate trajectory:



Nitrite accumulation !

- Ammonification is no longer limiting process
- Nitrite is accumulating in aerobic phase
  - 2<sup>nd</sup> step nitrifiers have lower oxygen affinity (higher  $K_O$ )
  - Alternating aeration is inhibitory for 2<sup>nd</sup> step nitrifiers
- ==> Nitrogen removal over nitrite
  
- Model adaptation necessary
  - Back to ASM2d (no more ASM1-ammonification process)
  - 2-step nitrification           ( $\text{NH}_4 \rightarrow \text{NO}_2 \rightarrow \text{NO}_3$  :  $X_{\text{N1}}, X_{\text{N2}}$ )
  - 2-step denitrification       ( $\text{NO}_3 \rightarrow \text{NO}_2 \rightarrow \text{N}_2$  :  $X_{\text{H}}$ )

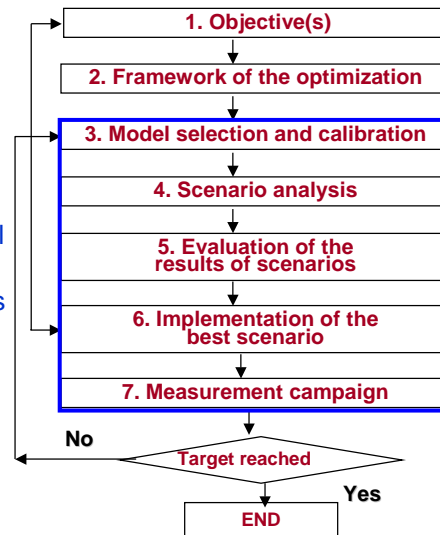
- Sludge volume



Filamentous bulking !

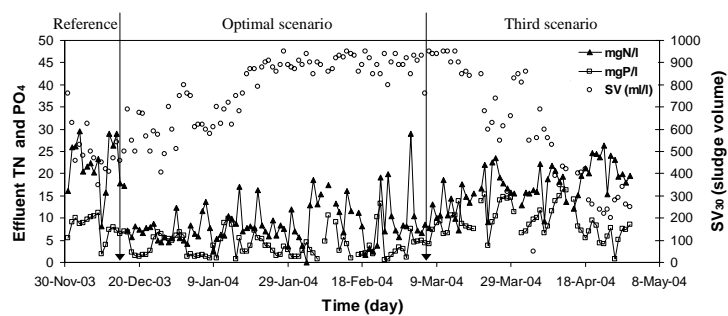
## Next iteration

- DO set-points to 1 mg/l
- Influent Ca<sup>++</sup>/Na<sup>+</sup> - ratio increased (Higgins & Novak, 1997)
- Expectation 1:  
Decreased nutrient removal
- Hope:  
Improved settling properties



## Measurement campaign

- Settling improves
- Nutrient removal worse



## Measurement campaign

### ○ Nutrient removal results:

	COD mgCOD/l	Total nitrogen mgN/l	PO <sub>4</sub> -P mgP/l
<b>Influent</b>	<b>410</b>	<b>60</b>	<b>11</b>
<b>Removal efficiency</b>			
<b>Reference operation (1 year average)</b>	<b>91%</b>	<b>56%</b>	<b>18%</b>
<b>Optimal Operation (2.5 months average)</b>	<b>94%</b>	<b>86%</b>	<b>65%</b>
<b>Third operation (2 months average)</b>	<b>92%</b>	<b>72%</b>	<b>20%</b>

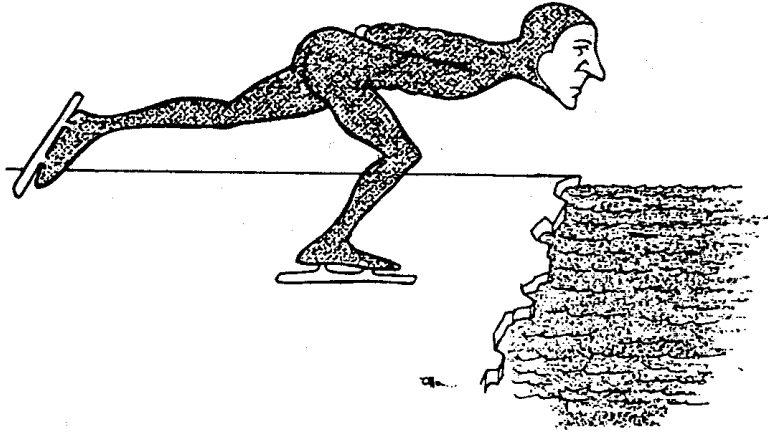
No more Bio-P removal (NO<sub>3</sub>-inhibition)

- We're back to where we started ...
- It's not always success stories !

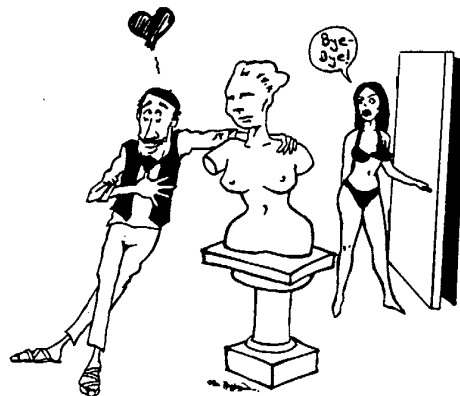
## Limitations of model-based optimisation

- Settling properties of activated sludge are not predicted by the model
  - No unified mechanistic model available to predict filamentous bulking or pin-point settling issues in activated sludge.
  - However, expert knowledge may be incorporated at the decision making step to account for this unknown factor
- Changing system operation may alter the microbial population thereby resulting in a change of the kinetic & stoichiometric parameters of the model + a change in model structure.
  - To account for this, iterate the systematic calibration protocol & re-calibrate the model and reconstruct the model if necessary until the objective of the optimisation is satisfied.

## “Do not extrapolate with your model”

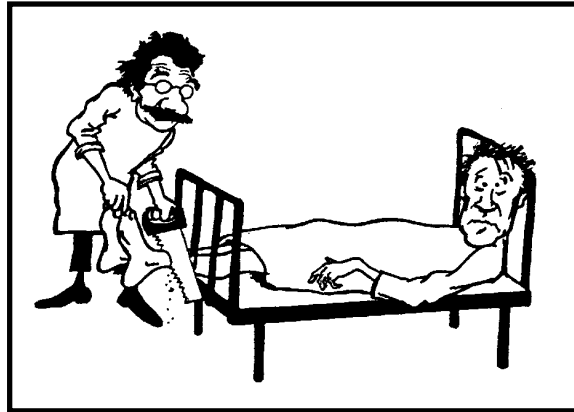


## “Do not fall in love with your model”



## “Do not adjust reality to your model”

### ○ Procrustes' bed



## Conclusions & Perspectives

- A systematic protocol for model-based optimisation of SBRs is developed and successfully (?) evaluated at a lab-scale SBR to achieve optimal N & P removal.
- Step-feeding of influent improves denitrification  
=> reduces negative  $\text{NO}_3\text{-N}$  effect on P-removal
- Frequent intermittent aeration at low DO during react phase is positive for overall N & P-removal
- Unmodelled phenomena should always be considered and require adaptive modelling (parameter + structure change)
- The systematic protocol is made flexible and objective oriented which can be used for different activated sludge systems
- Software support makes such scenario evaluation easy.